

CONSOLIDATED FUNDING APPLICATION ECONOMIC DEVELOPMENT FUNDS - INFORMATION SHEET

TRANSPORTATION INFRASTRUCTURE: RAIL AND PORT INFRASTRUCTURE PROJECTS

HOW MUCH IS AVAILABLE?	Up to \$27 million
WHEN IS THE DEADLINE FOR APPLYING?	October 31, 2011 at 4:00 p.m.
WHAT IS THE PURPOSE OF THE PROGRAM?	<p>The Rail and Port Program is a reimbursement program which provides funds for passenger rail, freight rail, and port capital improvements to preserve and/or improve service in the State's major trade and passenger travel corridors, including capacity, clearance, intermodal facilities, yards and other projects that enhance competitiveness and economic development.</p> <p>Funds will be allocated to support the following objectives:</p> <ul style="list-style-type: none"> ○ Promote increased rail safety. ○ Preserve and improve infrastructure of the shortline railroads with emphasis given to projects that help attain line capacity for 286,000 pound rail cars. ○ Increase capacity along Class I railroad corridors to provide more timely rail service between rail-truck terminals throughout New York State. ○ Promote the development of rail-truck freight terminals in key locations, to reduce the distance that goods need to be moved to New York State businesses. ○ Increase reliability of passenger rail service in New York State, reduce travel time and improve safety will also be advanced as part of this program. <p>Ports. Bond funds will be allocated to ports, marine terminals and marine transportation facilities exclusive of those under the jurisdiction of the Port Authority of New York and New Jersey. Funds will be allocated to support the following objectives:</p> <ul style="list-style-type: none"> ○ Improve access to upstate port facilities ○ Improve their cargo handling capabilities.
HOW ARE FUNDS PAID?	This is a reimbursement program. Reimbursements will be based on documentation of eligible projects costs incurred by the sponsor.
WHEN ARE MY COSTS ELIGIBLE FOR REIMBURSEMENT?	Project sponsors may begin to incur eligible costs upon execution of a contract between NYSDOT and the sponsor. Costs incurred prior to the contract, including the costs of preparing your application and any project development costs necessary to develop the contract are the sponsor's sole responsibility.
WHAT TYPES OF PROJECTS ARE ELIGIBLE?	<ul style="list-style-type: none"> ● Rail: Any rail capital infrastructure improvement with a 30 year life, including but not limited to: track construction and rehabilitation; bridge construction and rehabilitation; elimination of clearance obstructions, yard, terminal and siding construction and rehabilitation; signal and train control systems; grade crossing elimination; and rolling stock acquisition with a 20 year life. ● Ports: Any port capital infrastructure improvement with a 15 year life, including but not limited to: dock and wharf construction and rehabilitation, material handling and storage equipment and facilities. ● Eligible Costs: Work elements eligible for reimbursement will conform to 23 CFR Part 140, Subpart I, and include: engineering, construction management, site preparation, the preparation of designs, plans, specifications, estimates, environmental impact statements, appraisals and surveys, and the acquisition of real property. <i>The net salvage value of materials recovered must be included in the estimate as a credit against project costs.</i> Project expenses incurred prior to final execution of the agreement by the Office of the State Comptroller are not eligible for reimbursement by the State.
WHAT PROJECTS/ACTIVITIES ARE INELIGIBLE?	<ul style="list-style-type: none"> ● Projects located on facilities operated by or under the jurisdiction of the Metropolitan Transportation Authority and its subsidiaries that do not contain a rail freight component are ineligible for funding. ● Port facilities under the jurisdiction of the Port Authority of New York & New Jersey are ineligible for funding. ● Projects that do not meet the minimum service life requirements are ineligible for

	<p>funding.</p> <ul style="list-style-type: none"> • Work elements not consistent with 23 CFR Part 140, Subpart I are ineligible for reimbursement. • Projects that are not consistent with the New York State Smart Growth Infrastructure Policy Act, as determined by NYSDOT, are ineligible for funding.
WHO CAN APPLY?	<ul style="list-style-type: none"> • Municipalities • Counties • State Agencies • Federal Agencies • Public Benefit Corporations • Public Authorities • Common Carrier railroads • Intercity Passenger railroads • Tourist railroads • Any entity, as defined in Transportation Law Section § 14-d, which owns or operates public ports, marine terminals and marine transportation facilities, exclusive of those under the jurisdiction of the Port Authority of New York and New Jersey.
WHAT ARE THE APPLICANT REQUIREMENTS?	<ul style="list-style-type: none"> • Railroad applicants must be in good standing with NYSDOT, defined as having submitted their most recent annual report and have paid their annual rail safety fee, as required by New York State Transportation Law Sections 116 and 135, respectively. • In the case of rail projects, applicants must agree to provide service for the duration of the useful life of the capital project, which can vary depending on the type of improvement or the fund source. Projects funded by the 2005 Transportation Bond Act require the grantee to operate and maintain the improvement for 30 years for rail infrastructure projects; 20 years for rail rolling stock; and 15 years for port projects
HOW WILL PROJECTS BE SELECTED?	<p>The grant awards made under this solicitation will result from a competitive ranking of the CFAs completed and submitted as prescribed. This ranking will be determined by the overall score achieved by the proposed project during the evaluation process. Ranking will be based on a composite score where the recommendation/endorsement of the appropriate Regional Economic Development Council will constitute up to 20% (20 points) of the total score and the agency scoring will constitute up to 80% (80 points).</p>
ARE THERE ANY OTHER PROGRAM LIMITS/ REQUIREMENTS?	<ul style="list-style-type: none"> • LIMITATIONS: While there is no limit on the number of applications that may be submitted, applicants will be limited to one award from this solicitation. • MATCHING FUND REQUIREMENTS: Projects require a minimum 10% local share of total project costs. Preference will be given to those applications that are able to provide a greater local share of total project costs. • RESOLUTIONS: When signing a grant agreement, public entities must submit a municipal resolution giving a government official authority to execute the agreement. • SMART GROWTH: Applications must include information needed to comply with the Smart Growth Infrastructure Policy Act of 2010. • M/WBE REQUIREMENTS: Contracts resulting from this solicitation will be required to comply with Minority/Women Business Enterprise participation requirements per changes to this legislation effective October, 2010.
WHERE/HOW DO I APPLY?	<p>Please visit the Consolidated Funding Application website. The CFA is a web-based application accessible at http://nyworks.ny.gov</p>
WHERE CAN I GET MORE PROGRAM INFORMATION?	<p>Contact Ray Hessinger, New York State Department of Transportation, Consolidated Funding Application, c/o Policy and Planning Division, POD 6-4, 50 Wolf Road, Albany, NY 12232, call (518) 457-2320, email cfa.nysdot@dot.state.ny.us or visit https://www.nysdot.gov/programs/RegionalEconomicDevelopmentCouncils</p>